

CURRICULUM VITAE

Name: Dorothy Lucks
Executive Director: SDF Global PTY Ltd

Date of Birth: 18 October 1960

Nationality Australian and British Dual Nationality

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Education: PhD. (University of Western Australia, 2010)
MBA (University of Strathclyde, 1996)
Post-graduate Entrepreneurship (Glasgow Business School 1985)
BSc, (University of Edinburgh, 1980)

Languages:	Spoken	Reading	Writing
English	Excellent	Excellent	Excellent
Sinhala	Fair	Basic	Basic
Bahasa Indonesia	Basic	Basic	Basic

Membership of Professional bodies:

Australasian Representative to the International Organisation for Cooperation in Evaluation (IOCE)
IOCE – Secretary, Executive Committee
Evalpartners International Management Group Executive Committee
Western Australian Association for International Development;
Sustainability Practitioners Association;
International Association of Public Participation;
Sustainable Industries Group;
Peel Region Chamber of Commerce.
Fairbridge Centre for Social Innovation, Chair.

Key 25+ years experience in sustainable development
Qualifications: Numerous professional publications and conference papers.
Particularly contribution to Sustainable Development Goals

Country Experience

Armenia, Australia, Austria, Bangladesh, Bhutan, Belize, China, Ghana, Hong Kong, Indonesia, Italy, Japan, Kenya, Malaysia, Marshall Islands, Nairobi, Nepal, Pakistan, Papua New Guinea, Philippines, Rome, Solomon Islands, Samoa, Sri Lanka, Sudan, Thailand, Turkey, United Kingdom, United States of America, Vienna, Viet Nam, Zambia.

Employment Record:

2000 to date: Executive Director - SDF Global Pty Ltd and Independent International Advisor

SDF Global Pty Ltd is involved in a range of sustainable development initiatives internationally and with the Australian State Government Departments, various Local Governments, NGOs and the private sector. Work has included programme design and innovation, feasibility assessment, strategic plans, land and resources use planning, organisational change management, project and program design, management and evaluation, agriculture and small enterprise development, natural resource management, poverty reduction programmes, socio-economic research, facility plans, training needs assessments, design and delivery.

As Executive Director, Dorothy has responsibility for leading teams of specialists in design, research, project implementation, management and for overall outputs and outcomes of each contract. Specific industry contracts have covered the tourism, agriculture, agribusiness, homelessness and affordable housing, mining and retail sectors. Community-based projects have included a focus on youth, Indigenous communities, the ageing population and vocational training. Environmental projects cover water quality and catchment management, eco-tourism and environmental planning. All projects involve assessment of and improvements to strategic management and good governance for clients.

Selected Experience Australia (2011-2017)

- 2016/17 Peel Development Commission – Transform Peel Workforce and Skills Analysis.
- 2016/17 Red Cross - Waroona/Yarloop/Harvey bushfire recovery program evaluation.
- 2016/17 City of Mandurah – Evaluation Design Planning community Safety Plan 2017-2022.
- 2016/17 Allambee Counseling – consortium investigation/research/ workshops/ forum/ restructuring plans.
- 2015/16/17 Department of Regional Development, Evaluation Framework Development, Regional Centres Development Plan.
- 2016/2017 – Peel Community Development Group – Peel Says NO to Violence Evaluation.
- 2016 City of Rockingham Seniors Strategy consultation
- 2016 Regional Environment Strategy for the Eastern Metropolitan Regional Council
- 2016 Department of Transport – Life Jacket wear rate survey.
- 2016 City of Rockingham Youth Strategy
- 2016 Watershed Lakelands Business Plan
- 2016 Develop Regional Environment Strategy for the EMRC
- 2015 Shire of Irwin Age Friendly Communities Plan
- 2015 City of Mandurah Safer Mandurah Taxi Ranks Evaluation
- 2015 GP Down South 3 Tier Program Process Evaluation
- 2015 Alcoa of Australia Strategic Document Review & Database development
- 2014-2015 Disability Services Commission Quality Evaluation Panel
- 2014-2015 Mental Health Commission Quality Evaluation Panel
- 2014 Swan River Trust Healthy Rivers Action Plan Evaluation
- 2014 City of Mandurah Liquor Accord Strategic Planning
- 2014 Swan and Helena Rivers Management Framework Review EMRC
- 2014 Allambee Counselling Inc Strategic Planning
- 2014 IBA Yum Yum & Delicious Business Plan
- 2014 South West WA Medical Local PIRO Strategic Plan
- 2014 City of Mandurah Hit the Gym not Jim Program Evaluation
- 2013 Perth Tactiles Business Plan
- 2013 City of Mandurah Ocean Road Oval Water Re-use Business Plan
- 2013 What Scratch Marketing Plan

- 2013 Allambee Counselling Governance & Procedures Overview
- 2013 City of Mandurah Fun on the Foreshore Evaluation
- 2013 City of Mandurah Local Business Inclusion Evaluation
- 2012 Peel Farmers Market Hamel Nursery Feasibility Study
- 2012 City of Mandurah – Business Case Sea Wall & funding application
- 2012 Palngun Wurnangat Association- Coradji due diligence and Business Plan
- 2012 City of Mandurah Community Schools Program Evaluation
- 2012 Shire of Waroona Community Strategic Plan
- 2012 Swan River Trust, Visitor Satisfaction Survey 2012
- 2012 Shire of Waroona Coronation Road Business Case
- 2012 Shire of Serpentine Jarrahdale Whitby Falls Business Case
- 2012 City of Mandurah Community Association Scoring Tool (CAST) Evaluation
- 2012 Shire of Boddington Retirement Village Business Case
- 2011/12 City of Mandurah Closed Circuit TV Evaluation
- 2011/12 Shire of Waroona Community Strategic Plan
- 2011/12 Murdoch University Pre Feasibility Study / Business Case
- 2011 Boddington Supertowns Communication
- 2011 City of Bunbury Culture Strategic Plan
- 2011 Shire of Murray Dwellingup Facilities and Services Feasibility Study
- 2011 WestAus Strategic Business Plan
- 2011 Swan River Trust, Audit of Visitor Statistic Data
- 2011 Swan River Trust, Visitor Satisfaction Survey
- 2011 Richmond Fellowship Future Office Accommodation Study
- 2011 Shire of Collie Heritage Interpretation Plan

Summary of International Contracts

- Dec 2016- Feb 2017** **UN Industrial Development Organization** – Team Leader – review of partnerships with donors
- Mar 2011 – Nov 2016** **Philippines. World Bank/Food and Agriculture Organisation - Participatory Irrigation Development Program.** Change Management/Institutional Development Specialist for rationalisation plan of national agency for sustainable irrigation services including both national and community based irrigation. Six monthly supervision and technical assistance missions.
- July - Oct 2016 (intermittent)** **UN Institute for Training and Research (UNITAR)**– Trainer – Complexity and systems thinking for the SDGs – for senior government officials, Parliamentarians and civil society across Asia
- July 2015- June 2016 (Intermittent)** **African Development Bank** – Design of Theory of Change and Case Study Methodology for Study of Value Chains in Africa in preparation for implementation of the Feed Africa Strategy.
- April - June 2016** **Kenya, Africa – Nairobi. International Organisation Development Ltd (IOD PARC).** Organisation for Economic Co-Operation and Development. Implementation of the Multilateral Organisation Performance Assessment Network (MOPAN): Global assessment of multilateral agencies lead HQ-level studies.
- Dec 2015 - Jun 2016** **Philippines. Catholic Relief Services.** Sustainable impact study of five Agro-enterprise development projects in the Philippines.
- Jan-Mar 2016** **Solomon Islands. World Bank- Honiara City Council- Ministry of Infrastructure Development.** Scoping mission for design of Rapid Employment Project II including land use planning for informal settlements, environmental management and small infrastructure development.
- Feb - November** **Philippines. World Bank/Food and Agriculture Organisation.** Technical Agricultural specialist/Value Chain Development specialist for several design

- 2015** missions for the Inclusive Partnerships for Agricultural Competitiveness (IPAC) project.
- September – November 2015** – **FAO/IFAD/IOCE/EVALSDGs** Engaged in speaking at seminars and conferences related to the Sustainable Development goals (SDGs) and the follow-up and review processes for the SDGs. Appointed as Co-Chair of EVALSDGs
- June-August 2015** **Turkey. IFAD International Fund for Agriculture Development.** Country Program Evaluation of IFAD funded operations in Turkey, Enterprise and Value Chains Specialist.
- January 2015** **Solomon Islands. World Bank- Honiara City Council- Ministry of Infrastructure Development.** Review of Social Accountability and Governance Risks for the Solomon Islands Rapid Employment Project.
- October – Dec 2014** – **Bangladesh. United Nations (IFAD) Country Program Evaluation.** Enterprise development, access to markets and value chains development specialist evaluating ten projects over the last ten years.
- Mar 2014 – Oct 2014** - **Pacific Region. Asian Development Bank (ADB) Review of Pacific Islands Development Coordination Approach** Team leader for regional assessment of ADB performance across nine countries in the Pacific region through development coordination offices.
- Feb 2014 – July 2014** - **Papua New Guinea. World Bank- Port Moresby** Team Leader for mid term review of the Urban Youth Employment Project (UYEP). Innovative project to address youth unemployment and disadvantage in Port Moresby.
- Dec 2012- Mar 2014** - **Pacific region. Asian Development Bank. Thematic Evaluation of Technical Assistance (TA) Investments.** Assessment of ADB TA performance in response to 2008 Technical Assistance Policy Amendments. Field review of TA in Tonga, Fiji, China, Sri Lanka, Bhutan and the Philippines.
- Jun – Oct 2013** **Zambia. International Fund for Agriculture Development** Specialist for national Country Programme Evaluation of IFAD funded operations in Zambia. Technical focus on sustainability interventions.
- Jun – Dec 2013** **Bhutan. International Fund for Agriculture Development** National programme evaluation of IFAD funded operations in Bhutan.
- Nov 2011 – Mar 2012** - **Special Study of Food Security in Asia.** Asian Development Bank (ADB). Review of the global food price crises and their impact on the poor in Asia. Assessment of ADB current strategic alignment with food security initiatives.
- Aug – Dec 2011** - **Philippines. Northern Mindanao Community Initiatives and Resource Management.** IFAD supported project in community-based watershed development and with a focus on Indigenous People's initiatives for land management, eco-tourism and education.
- Mar – June 2011** **Global Synthesis of Supervision** IFAD Evaluation of programme and project supervision methodologies and practice since launch of the IFAD Supervision Policy in 2005.

- 1994 – Apr 2011** **Philippines. Technical Assistance and Training Specialist** for the Supervision of the **World Bank** Philippines Agrarian Reform Communities Development Projects (ARCDP and ARCDP II and additional financing) with on-going six-monthly missions from 1994 to present. Involved technical review and guidance on community development, agriculture and enterprise development, rural credit, monitoring and evaluation, and project management.
- Jan - Apr 2011** **Ghana. Country Programme Evaluation.** Evaluation of rural enterprise and local development training and technical support initiatives for seven projects nationwide, plus additional grants and policy initiatives in preparation for new Ghana Country Programme for IFAD.
- Feb 2010** **China. Interim Evaluation.** Interim evaluation of IFAD and People’s Republic of China Qinling Mountain Area Poverty-Alleviation Project.
- Jan-Nov 2009** **Corporate Evaluation: Innovation.** Evaluation of the IFAD Policy and Guidelines for Innovation and its capacity to promote replicable innovations. Included assessment of IFAD Corporate strategies, 21 Country Programme Strategies and 70 project designs across 46 countries. Field visit to innovations in Vietnam.
- 2008** **China. Project Evaluation.** Evaluation of 7 year IFAD project in southern China covering water management, rural credit, provincial infrastructure, institutional and governance support.
- 2006 – 2007** **Philippines. World Bank Team leader** for Project Implementation Completion research study of the World Bank Community Based Resource Management covering institutional development, infrastructure and livelihood initiatives.
- 2007** **Belize. Team Leader** for evaluation of Community Agriculture and Rural Development Project of the Caribbean Development Bank (CDB) and IFAD, including public facilities, access infrastructure, social, sustainable agriculture and microfinance development, particularly targeting marginalised Mayan ethnic groups.
- 2006 - 2007** **Pakistan, China, Zambia, Philippines** (also involving comparative desk reviews for Egypt, India, Sri Lanka and Bangladesh) **Corporate evaluation** team member for a Field Presence Pilot Project of IFAD: based on a three year pilot programme, examining the feasibility of establishing national offices as a global policy and strategy for sustainability.
- 2003 - 2007** **Philippines. Technical Assistance Specialist** for the two Japanese Grant Programs for landless and Indigenous Peoples, supervised by the World Bank. The projects piloted new approaches for sustainable livelihoods, eco-tourism, sustainable agriculture and social inclusion in poverty reduction processes.
- 2006** **Philippines Cordilleras Autonomous Region. Team leader** for IFAD/ ADB) Highland Agriculture and Resource Management (CHARM) interim assessment which progressed watershed management with sustainable agriculture sector development for ethnic minorities.
- 2005 - 2006** **South East Asia.** Cross Country evaluation of the poverty status and livelihood investments in strategic regional and rural development for IFAD. The research covered 8 countries, 15 major investment projects and 8 regional research projects.
- 2005** **Philippines. Agrarian Reform and Social Development Specialist** with Asian Development Bank and Southeast Asian Regional Centre for Graduate Study and Research in Agriculture for the design of the Agrarian Reform Communities

Project II (ARCP II) to reduce poverty among 15 poorest provinces. Responsible for institutional arrangement, safeguards processes for resettlement, inclusion and gender aspects.

- 2003 - 2006** **Philippines. Staff Consultant** with World Bank with Autonomous Region of Muslim Mindanao Social Fund Social Infrastructure and Community Development Fund for World Bank Re-Construction and Rehabilitation in post-conflict Mindanao. Troubleshooting on institutional arrangements, M&E and Project Management.
- 2005** **Indonesia. Australian Aid Indonesia-Australia Change Management Specialist.** One of team of three technical specialists to design a module for the Indonesia-Australia Agreement to incorporate good governance, change management and gender awareness into all training programs.
- 2004 - 2005** **Zambia, Sudan And Armenia.** Corporate review of IFAD project supervision modalities with focus on Africa and Mid & Eastern Europe. The Review covered 30 projects in 20 countries to assess how supervision modalities contribute to effectiveness, efficiency and relevance of the projects. Included evaluation field work in Zambia, Sudan and Armenia.
- 2004** **Philippines. Training Consultant and Lead Facilitator.** Executive Training for the National Commission on Indigenous People (NCIP) of the Philippines. Design and lead facilitator of AUSAID/TAFE Global executive training programme for the NCIP for four months.
- 2003 – 2004** **Indonesia. Institutional/Decentralisation Specialist** for IFAD Country Strategy Programme Evaluation including design and facilitation of project and participant self-assessment processes as a partnership input to the evaluation.
- 2002 - 2003** **Nepal. IFAD. Micro-enterprise development consultant** for Preparation Mission for North and North Western Nepal. Integrated project with strong emphasis on social justice and strategies for community inclusion. Also designed to alleviate the rise of insurgency in the area.
- July – Aug 2002** **Philippines. Micro-enterprise specialist** for IFAD/ADB-funded Philippines Micro-enterprise Finance Project evaluation mission. This evaluation acted as a pilot (one of ten globally) for IFAD's new participatory evaluation process.
- 1997 - 1998** **Sri Lanka. Sri Lanka Government/World Bank/German Government.** Study on under-employment of marginal farmers in the non-plantation agricultural sector. Acting as international advisor for study design, implementation and analysis.
- 1997** **Philippines. Philippines Government/ International Fund for Agricultural Development, Western & Northern Mindanao Economic Development Projects.** Two three-year projects. Training specialist in inception and formulation missions working with rebel returnee groups and resettlement of communities affected by the conflict in Western Mindanao, and indigenous communities in Northern Mindanao.
- 1996** **Indonesia. Indonesian Government/International Fund for Agricultural Development, Indonesia.** Small enterprise, micro-credit and employment development program. Acted as small enterprise development consultant for national expansion program.
- 1996** **Philippines. Philippines Government/Asian Development Bank/**

International Fund for Agricultural Development, Microfinance Project, Philippines. A joint project focusing on Grameen Bank Replication through the Peoples Finance and Credit Corporation. Acted as small enterprise and microcredit specialist in identification and appraisal design missions.

1996 Sri Lanka. Sri Lanka Government/CARE International/United Nations, Sri Lanka. Micro-enterprise program for refugees. Acted as Team Leader and Technical Consultant for several projects in program design, evaluation and policy input in resettlement and re-establishment of local communities in conflict areas throughout both the Sinhalese and Tamil precincts.

1995 Sri Lanka. Sri Lanka Government/Asian Development Bank. Team leader for economic rehabilitation in areas affected by civil unrest.

1992 - 1995 USAID, CARE International, UNHCR and IFAD. Short term work on various participatory community development, governance, small-medium business, rehabilitation, agricultural development and micro-credit programs in the Asia Region. Also small-scale research and evaluations for these agencies, linked to emergency response, private sector and socio-economic development.

Long Term Contracts

1989 – 1991 Hong Kong. Herbert Kees International Ltd. Management consultant for Hong Kong and Indonesian offices. Involved sub-office establishment, institution of management systems, recruitment and training of staff, and strategic planning for the local offices.

1986 - 1989 Sri Lanka. Sarvodaya Economic Enterprises Development Services. Non-government organisation in Sri Lanka focussing on community development, microcredit, employment generation and enterprise development programs nationally in Sri Lanka. Recruited as Project Manager to design, generate financing for, and field test the pilot area. Continued as a consultant on the management team for national expansion into over 100 communities. Also designed a parallel appropriate training and appropriate technology program, which is now also a continuing nation-wide program.

1985 - 1986 Scotland. VIDA Group Ltd. Post harvest food production group of companies in Scotland and England. Business consultant conducting feasibility studies and organisational development.

1984 - 1985 Strathclyde Development Agency. Business development consultant supporting a range of businesses impacted by the economic crisis in Scotland. Involved business review and feasibility studies for expansion plans and funding applications.

1981 - 1984 Harvest Fresh Ltd. Business manager for consumer sales company in dairy and bakery products as well as other retail items in Scotland. Responsible for business logistics and the training and management of over 100 staff.

REFEREES Ziad Moussa

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